## Week 4

Optical Properties 2 Excitons Spin Energy Transfer

Chapter 3.6, 3.7.1, 3.7.4, 3.8

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### Excitons & Spin

- Collective response of a <u>solid</u> to the excitation of a <u>molecule</u>
- Excited states that transport energy
  - <u>But not charge</u>, except for charged excitons (trions)
- The excited state transfers from molecule to molecule.
  - Energy migration is known as *exciton diffusion*.
- Migration can happen in gas, solution and especially solids.



### Three Types of Exciton



Wannier-Mott

Charge Transfer

Frenkel

 $\hbar^2$ 

Bohr Model:

$$E_{B} = -\frac{q^{2}}{8\pi a_{0}(\varepsilon_{r}\varepsilon_{0})}\frac{1}{n^{2}} \qquad a_{0} = \frac{4\pi(\varepsilon_{0}\varepsilon_{r})}{m_{r}^{*}q^{2}}$$

Medium	$\mathcal{E}_r$	$m_r^* / m_e$	$a_0$ (Å)	$E_B$ (eV)
Vacuum (H atom)	1	1	0.5	13.6
Anthracene <sup>(a)</sup>	2.4-4.1	1	1.2-2.1	0.8-2.4
PTCDA <sup>(b)</sup>	2.0-4.4	0.14-1	2.2-7.1	0.5-0.7
ZnS <sup>(c)</sup>	5.2	0.4	5.2	0.2
Si <sup>(c)</sup>	11.9	0.14	43	0.014
GaAs <sup>(c)</sup>	13.1	0.07	94	0.006

## Important distinctions between electronic *orbitals* and electronic *states*

- 1. Orbital energies refer to single electrons
- Orbital energies are referenced to the vacuum level: All HOMO and LUMO energies < 0</li>
- State energies refer to collections of electrons: they are calculated from a linear combination of orbitals
- State energies are referenced to each other (not vacuum)
- 5. States are formed after relaxation and include the electron-hole binding energy
- States comprised of two or more electrons, and hence their spin multiplicity determines their character.
- States and orbitals cannot co-exist meaningfully on the same diagram



### How big is the excited state?



#### Jablonski Diagrams: Life Histories of Excitons



### **Oscillator Strength**

The oscillator strength is the ratio of the emission or absorption rate of the molecule to

that of a classical oscillator, which is defined by: Recall this is simply  $|\mu_{if,e}|^2 FC_{if}$  $f_{in,fm} = \frac{4\pi m_e c}{3q^2 \hbar} \left\langle \tilde{v}_{in,fm} \right\rangle \left| \left\langle \phi_{in} \right| H_{int} \left| \phi_{fm} \right\rangle \right|^2 = \frac{4\pi m_e c}{3q^2 \hbar} \left\langle \tilde{v}_{in,fm} \right\rangle \left| \mu_{in,fm} \right|^2.$ Ave. emission freq. Einstein spontan. abs. Normalized lineshape It can be shown that the absorption cross section is:  $\sigma_0(\omega) = \hbar \omega B_{ln} \gamma(\omega) / c$ This leads to more practical expressions for the oscillator strength:  $f_{in,fm} = \frac{2m_e\varepsilon_0 c}{\pi q^2 n_r} \int \sigma(\omega) d\omega = \frac{2303m_e c^2}{N_A \pi q^2 n_r} \int \varepsilon(\tilde{v}) d\tilde{v} = \frac{4.39 \times 10^{-9}}{n_r} \int \varepsilon(\tilde{v}) d\tilde{v}$ Decadic molar absorption wavenumber=v/c Coefficient [I-cm<sup>-1</sup>-M<sup>-1</sup>] Organia Electronics Stephen R. Forrest

Absorption coeff't relationships:  $\alpha(v) = \ln 10\varepsilon(v)C = 2.303\varepsilon(v)C$ 



Shi, S., et al. 2019. J. Am. Chem. Soc., 141(8), pp.3576-3588.

### Dimers

- Interaction of an isolated pair of molecules
- First step in building up a solid: smallest possible aggregate unit
- <u>Chemical dimer</u>: the pair forms a bond
- <u>Physical dimer</u>: the pair is attracted by a bonding force, typically van der Waals
- New energy levels appear that do not exist in the individual molecules
- Treatment much like that of a H<sub>2</sub> molecule.
  - Ground state:  $\psi_G(\mathbf{r}_1, \mathbf{r}_2) = \psi(\mathbf{r}_1)\psi_2(\mathbf{r}_2)$ :  $\psi(\mathbf{r}_{1,2}) =$  ground state of individual molecules comprising the pair.
  - These identical molecules have identical energies:  $E_1 = E_2 = E_0$
  - When interacting to form a dimer, the ground state energy is perturbed:

$$E_{I} = \left\langle \boldsymbol{\psi}_{1}(\mathbf{r}_{1})\boldsymbol{\psi}_{2}(\mathbf{r}_{2}) \right| \boldsymbol{H}_{\text{int}} \left| \boldsymbol{\psi}_{1}(\mathbf{r}_{1})\boldsymbol{\psi}_{2}(\mathbf{r}_{2}) \right\rangle$$

• With (for vdW interactions):  $H_{int} = -\frac{A_{12}}{r_{12}^6}$ 



### Normal Modes of a Dimer

Excited state 'oscillates' between the two molecules forming two normal modes:



Two energies associated with these normal modes:

 $E_{I}' = \left\langle \psi_{1}'(\mathbf{r}_{1})\psi_{2}(\mathbf{r}_{2}) \middle| H_{\text{int}} \middle| \psi_{1}'(\mathbf{r}_{1})\psi_{2}(\mathbf{r}_{2}) \right\rangle \quad : \text{Coulomb energy}$  $E_{\pm} = \pm \left\langle \psi_{1}'(\mathbf{r}_{1})\psi_{2}(\mathbf{r}_{2}) \middle| H_{\text{int}} \middle| \psi_{1}(\mathbf{r}_{1})\psi_{2}'(\mathbf{r}_{2}) \right\rangle \quad : \text{Resonance energy}$ 

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Giving a total dimer energy of:  $E_T' = E_0 + E' + E_I' + E_{\pm}$ 

=Ground state + excited state of one molecule + energies of the pair

#### The Dimer Spectrum



#### Symmetry prevents some transitions from occurring

Transition moment:  $\boldsymbol{\mu}_{\pm} = -\frac{q}{\sqrt{2}} \langle \boldsymbol{\psi}_1'(\mathbf{r}_1) \boldsymbol{\psi}_2(\mathbf{r}_2) \pm \boldsymbol{\psi}_1(\mathbf{r}_1) \boldsymbol{\psi}_2'(\mathbf{r}_2) \big| \mathbf{r} \big| \boldsymbol{\psi}_1(\mathbf{r}_1) \boldsymbol{\psi}_2(\mathbf{r}_2) \rangle = \frac{1}{\sqrt{2}} \big( \boldsymbol{\mu}_1 \pm \boldsymbol{\mu}_2 \big)$ 



### Excimers and Exciplexes

- A dimer with no ground state is an excimer.
- A molecular couple comprised of two different molecular species is an **exciplex**.



Getting to the excimer can be a multi-step process

$$S_0 + h v_M \rightarrow S_1$$

$$S_1 \rightarrow E^* + h v_{ph}$$

 $E^* \rightarrow S_0 + h v_{E^*}$ 



Energy

# Examples of Excimer Emission in Fluorophors and Phosphors

Excimer Fluorescence: Pyrene in solution Higher concentration=more excimer pairs Excimer Phosphorescence Coexistence of monomer and excimer emission = white light



### Exciplexes

- Concept of **donor** and **acceptor** molecules:
  - An excitation moves from an excited donor (D\*) to a ground state acceptor (A<sup>0</sup>)

 $D^* + A^0 \to D^0 + A^*$ 

• Since there is an energy difference between D and A, an exciplex results in charge transfer (shared charge) between molecules:

$$D^* + A^0 \rightarrow (D^+ A^-)$$

 Excitation shared by two different molecules forming a complex: the amount of charge transfer depends on energy asymmetry



### Example of an Exciplex



Kim, J.-H., et al. 2014. Adv. Functional Mater., 24, 2746.

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# The correspondence between a molecule and a solid



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- IP=ionization poten
- EA=electron affinity
- P=Polarization ener
- g,s=gas, solid

Going from molecules to solids



Wolf, H. C. 1959. *In:* SEITZ, F. & TURNBULL, D. (eds.) *Advances in Solid State Physics.* NY: Academic Press.

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#### Charge Transfer States: Intermediates between molecule and solid

Tight packing in PTCDA leads to strong CT oscillator strength



Solvatochromism and Polarization



- The environment responds to changes in the dipole of the central molecule.
- The energy difference due to this response is the polarization energy
- *Positive solvatochromism* = red spectral shift = *bathochromic shift*.
- *Negative solvatochromism* = blue spectral shift = *hypsochromic shift*.



#### Place a dipolar (fluorescent) molecule in increasingly polar solvents



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### Exciton Spin

- Organic solids are not strongly coupled
  - Individual molecular properties retained in the solid
  - Lack of degeneracy in the bands
  - ⇒Spin of individual molecules largely preserved in the solid

This situation is substantially different than for <u>strongly</u> <u>correlated</u> inorganic semiconductors and metals.

- Spin multiplicity (and its violation) determines optical properties
  - S=0, singlets; S=1,triplets





## **Spin-Orbit Coupling**

- Primary mechanism that results in violation of spin conservation
- **Results in phosphorescence** •
- A result of quantum mechanical interactions of electron spin ٠ and relativistic orbital angular momentum

Magnetic field due to a charge of velocity **v** in field **F**:  $\mathbf{B} = -\frac{\mathbf{v} \times \mathbf{F}}{c^2}$ 

and 
$$\mathbf{F}(\mathbf{r}) = -\hat{\mathbf{r}} \frac{dV}{dr}$$
  $\mathbf{B} = \frac{\mathbf{v} \times \hat{\mathbf{r}}}{c^2} \frac{dV}{dr}$ 

Orbital angular momentum is given by:  $\mathbf{l} = \mathbf{r} \times m_e \mathbf{v}$ 

Giving:

$$\mathbf{B} = -\frac{1}{m_e r c^2} \frac{dV}{dr}$$

As in the case for an electric dipole, the energy due to a magnetic dipole moment, **m** is

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$$E_{\rm int} = -\mathbf{m} \cdot \mathbf{B}$$

#### Spin-Orbit Coupling, cont'd

The magnetic moment due to electron spin is:



This gives spin-orbit coupling in the electron reference frame of

$$H_{SO} = -g_S \frac{q}{2m_e^2 c^2} \frac{1}{r} \frac{dV}{dr} \mathbf{l} \cdot \mathbf{s}$$

Relativisitic effects: precession of the spin in its orbit in the laboratory frame gives:

$$\mathbf{B} \rightarrow -\frac{\mathbf{v} \times \mathbf{F}}{2c^2}$$

From which we finally arrive at:

$$H_{SO} = -\frac{q}{2m_e^2 c^2} \frac{1}{r} \frac{dV}{dr} \mathbf{l} \cdot \mathbf{s} = \xi(r) \mathbf{l} \cdot \mathbf{s}$$

Now, for a hydrogenic atom:  $V(r) = -Zq/4\pi\varepsilon_0 r$  such that:  $\xi(r) = -\frac{Zq^2}{8\pi\varepsilon_0 m_e^2 c^2} \frac{1}{r^3}$   $(R_{nl} \sim (Z/a_0)^{3/2})$  Organic Elements Using the hydrogenic wavefunctions  $|nlm_l\rangle = R_{nl}(r)Y_{lm_l}(\theta,\phi)$   $E_{so} = \frac{2\pi\alpha^2 R_c Z^4 c}{n^3 l(l+\frac{1}{2})(l+1)\hbar} \langle l+1\rangle$ 

Fine structure

#### **Characteristic Transition Rates**



 $k_{ph}'$  = phosphorescent transition rate in the absence of S-O coupling  $k_{ph}$  = phosphorescent transition rate in the presence of S-O coupling

Quantum yields: (Ratio of photons emitted to photons absorbed into  $4\pi$  solid angle)

Fluorescence: 
$$\Phi_F = \frac{k_F}{k_F + k_{nrS} + k_{ISC}}$$
  
Phosphorescence:  $\Phi_P = \frac{\Phi_{ISC}k_{Ph}}{k_{Ph} + k_{nrT}}$ ;  $\Phi_{ISC} = \frac{k_{ISC}}{k_{ISC} + k_F + k_{nrS}}$ 



#### Electrophosphorescence: 100% IQE Achieved

Need to mix singlet and triplet states:

- make both singlet and triplet decay allowed.



#### Differences between Type I and Type II Phosphors

N-Heterocyclic carbene (NHC) ligand for blue



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### **Energy Transfer**

If excitons are mobile in the solid, they must move from molecule to molecule
 The microscopic "hopping" between neighboring molecules = energy transfer





Different transfer ranges accessed by different processes

#### Förster Resonant Energy Transfer (FRET)

- Förster, T. 1948. Zwischenmolekulare Energiewanderung Und Fluoreszenz. *Ann. Physik*, 55, 2.
- Förster, T. 1959. 10th Spiers Memorial Lecture: Transfer Mechanisms of Electronic Excitations. *Disc. Faraday Soc.*, 27, 7.
  - resonant dipole-dipole coupling
     donor and acceptor transitions must be allowed



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Efficient method for singlet transfer to fluorescent dye Triplet-singlet transfer possible if donor is strongly phosphorescent

#### Transfer occurs via electric dipole interactions

$$H_{\rm int} = \frac{q^2}{4\pi\varepsilon_0\varepsilon_r R^3} \left\{ \mathbf{r}_D \cdot \mathbf{r}_A - \frac{3(\mathbf{r}_D \cdot \mathbf{R})(\mathbf{r}_A \cdot \mathbf{R})}{R^2} \right\} + O\left(\frac{1}{R^4}\right) + \dots$$

Relative orientation of *excited state* donor and *ground state* acceptor dipoles determines coupling strength (i.e. its efficiency):





$$k_{ET}(E) = \frac{2\pi}{\hbar} \left| \left\langle \Phi_f \left| H_{\text{int}} \right| \Phi_i \right\rangle \right|^2 \rho(E) = \frac{2\pi}{\hbar} \left| M_{fi} \right|^2 \rho(E)$$

Overlap of the initial and final state energies simply equals the overlap of the donor fluorescence and acceptor absorption spectra!

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A

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### **Calculating FRET**

$$q^{2}\left\{\mathbf{r}_{D}\cdot\mathbf{r}_{A}-\frac{3(\mathbf{r}_{D}\cdot\mathbf{R})(\mathbf{r}_{A}\cdot\mathbf{R})}{R^{2}}\right\}=q^{2}r_{D}r_{A}\left\{\cos\theta_{DA}-3\cos\theta_{D}\cos\theta_{A}\right\}=\kappa_{F}\mu_{D}\mu_{A}$$

This gives us the orientation factor:

$$\kappa_F^2 = \left(\cos\theta_{DA} - 3\cos\theta_D\cos\theta_A\right)^2$$



That is, FRET can only occur if the initial and final dipoles are non-orthogonal

and

$$\left|M_{fi}\right|^{2} = \frac{\kappa_{F}^{2} \mu_{D}^{2} \mu_{A}^{2}}{\left(4\pi\varepsilon_{0}\right)^{2} n_{r}^{4} R_{DA}^{6}} FC\left(E_{D^{*}}; E_{D^{*}} - \hbar\omega\right) \times FC\left(E_{A^{0}}; E_{A^{0}} + \hbar\omega\right)$$
  
Distance between dipoles ~ vdW energy!

Absorption coeff't of acceptor

$$k_{ET} = \frac{9c^4}{128\pi^5} \frac{\kappa^2 \Phi_D}{N_A n_r^4 \tau_D R_{DA}^6} \frac{M_{wA}}{\rho_{MA}} \int \frac{f_D(v)\alpha_A(v)}{v^4} dv$$
 acceptor  
Fluorescence quantum vield of donor  
Donor natural lifetime Molar mass/mass density of acceptor

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#### What FRET Implies

Simply put:

$$k_{ET} = \frac{1}{\tau_D} \left( \frac{R_0}{R_{DA}} \right)^6$$

Where

$$R_{0}^{6} = \frac{9c^{4}}{128\pi^{5}} \frac{\kappa^{2} \Phi_{D}}{N_{A} n_{r}^{4}} \frac{M_{wA}}{\rho_{MA}} \int \frac{f_{D}(v) \alpha_{A}(v)}{v^{4}} dv = K J_{v}$$

*R*<sup>0</sup> is the **Förster radius**, or transfer distance.

That is, when  $R_0 = R_{DA}$ , then  $k_{ET} = 1/\tau_D$ , the natural radiative lifetime. Thus, the transfer efficiency is:

$$\eta_{ET} = \frac{\tau_D + \tau_{NR}}{\tau_{ET} + \tau_D + \tau_{NR}} \to \frac{1}{2}$$

(i.e. half of the energy is lost to transfer)

 Keep in mind that FRET is orientation dependent : this makes it a probe of molecular orientation by using polarized input light, and determining therganic Electronics polarization of the light emitted from the acceptors

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- FRET transfer time ~ radiative emission time: k<sub>ET</sub>~1-10 ns.
- Primary route to diffusion

#### Two examples

FRET is important in OLEDs, OPVs, biology,....

Typical molecules used in OPVs and OLEDs



Photosynthetic light harvesting complex LH2



Sundström et al., J. Phys. Chem. B, 103, 2327 (1999).



### Exchange Energy Transfer

- Coherent transfer of excitons from donor to acceptor by simultaneous charge exchange <u>in the</u> <u>contact zone</u>
- Also known as Dexter transfer

*spin is conserved: e.g. singlet-singlet or triplet-triplet* 





Dexter, L. 1953. A Theory of Sensitized Luminescence in Solids. *J. Chem. Phys.*, 21, 836.



#### Spin conserving exchange interactions

$${}^{1}D^{*} + {}^{1}A \rightarrow {}^{1}D + {}^{1}A^{*}$$
 (a)

 ${}^{3}D^{*} + {}^{1}A \rightarrow {}^{1}D + {}^{3}A^{*}$  (b)





Dexter Also Requires Resonance between D\* and A<sup>0</sup>

FGR: 
$$k_{ET} = \frac{2\pi}{\hbar} \Gamma^2 \int f_D(\omega) \sigma_A(\omega) d\omega$$

Overlap,  $\Gamma$ , is due to sum of the transition matrix elements over all initial and final states

$$\Gamma = \sum_{if} M_{fi} = \sum_{i,f} \left\langle \psi_f \left( \mathbf{r}_f, Q_f \right) \middle| q^2 / 4\pi \varepsilon_0 \varepsilon_r R_{DA} \middle| \psi_i \left( \mathbf{r}_i, Q_i \right) \right\rangle$$

For hyrdogenic initial and final states, we get approximately

$$\Gamma^{2} \propto \frac{q^{2}}{\left(4\pi\varepsilon_{0}\right)^{2} n_{r}R_{DA}^{2}} \exp(-2R_{DA}/L) \checkmark \text{ vdW radius}$$

"tunneling" between nearest neighbors

Finally resulting in the Dexter transfer equation:

$$k_{ET} \approx K \frac{q^2}{8\pi\varepsilon_0^2 \hbar n_r R_{DA}^2} \left\{ \int f_D(\omega) \sigma_A(\omega) d\omega \right\} \exp(-2R_{DA}/L) = \frac{K' J_{\omega}}{R_{DA}^2} \exp(-2R_{DA}/L)$$

### Important facts about Dexter exchange

#### • Spin of the donor and acceptor can change during exchange

- $\circ~$  Spin of the excited and ground states cannot change
- Effective in transfer of non-radiative triplet states (FRET is not since triplets are *generally* non-radiative)
- Transfer is in the contact zone, only between nearest neighbors
  - Range determined by vdW radius of the molecules
- To first order (s-like states), there is no dependence on orientation
  - $\circ$   $\kappa$  in FRET not found in exchange equation
  - Not due to dipole-dipole coupling; only Coulomb forces
- Rate of exchange is on the order of a tunneling time
  - $\circ$  k<sub>et</sub>~ 10<sup>10</sup> 10<sup>11</sup>s<sup>-1</sup>



#### Summarizing our discussion on energy transfer



Process	Transfer	Distance Dependence	Zone	Characteristic
	rate			transfer distance
Exchange	$k_{ET,exch}$	1	Contact	<1 nm
(Dexter)		$\frac{1}{R_{DA}^2} \exp(-2R_{DA}/L)$		
FRET	k <sub>et,fret</sub>	1	Near	<10 nm
		$\overline{R^6_{DA}}$		
Radiative	$k_{ET,rad}$	1 $(\alpha \cdot \mathbf{P})$	Far	>100 nm
		$\frac{1}{R_{DA}^2} \exp(-\alpha_A R_{DA})$		Organic Electronics
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