### Week 1-5

**Optical Properties 2** 

Understanding molecular spectra Dimers, excimers and exciplexes

Chapter 3.5.3 – 3.6.5



#### Understanding molecular spectra



#### Molecular reconfiguration leads to Stokes shifts





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#### A classic spectrum at low temperature





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- Perylene in *n*-hexane solution
- Perfect replica of absorption and emission
- Homogeneously broadened phonon lines narrow as the random disorder is "frozen" out
- Numerous vibronics apparent in this progression: rotons, librons, etc...

### Important distinctions between electronic *orbitals* and electronic *states*

- 1. Orbital energies refer to single electrons
- Orbital energies are referenced to the vacuum level: All HOMO and LUMO energies < 0</li>
- State energies refer to collections of electrons: they are calculated from a linear combination of orbitals
- State energies are referenced to each other (not vacuum)
- 5. States are formed after relaxation and include the electron-hole binding energy
- States comprised of two or more electrons, and hence their spin multiplicity determines their character.
- States and orbitals cannot co-exist meaningfully on the same diagram



#### A (complicated) example of states



Lee et al., Nat. Comm., 8, 15566 (2017)

# Spectral dependence on molecular stiffness



Stiffer molecules show less broadening of phonon lines at high temperatures  $E_{00}$ =adiabiatic transition energy ~  $E_G$ 

#### How big is the excited state?

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#### Excitons

- Collective response of a <u>solid</u> to the excitation of a <u>molecule</u>
- Excited states that transport energy
  - But not charge, except for charged excitons
- The excited state transfers from molecule to molecule.
  - Excitons have momentum
  - Energy migration is known as *exciton diffusion*.
- Migration can happen in gas, solution and especially solids.



#### Three Types of Exciton



Wannier-Mott

Charge Transfer

Frenkel

 $\hbar^2$ 

Bohr Model:

$$E_{B} = -\frac{q^{2}}{8\pi a_{0}(\varepsilon_{r}\varepsilon_{0})}\frac{1}{n^{2}} \qquad a_{0} = \frac{4\pi(\varepsilon_{0}\varepsilon_{r})}{m_{r}^{*}q^{2}}$$

Medium	$\mathcal{E}_r$	$m_r^* / m_e$	$a_0$ (Å)	$E_B$ (eV)
Vacuum (H atom)	1	1	0.5	13.6
Anthracene <sup>(a)</sup>	2.4-4.1	1	1.2-2.1	0.8-2.4
PTCDA <sup>(b)</sup>	2.0-4.4	0.14-1	2.2-7.1	0.5-0.7
ZnS <sup>(c)</sup>	5.2	0.4	5.2	0.2
Si <sup>(c)</sup>	11.9	0.14	43	0.014
GaAs <sup>(c)</sup>	13.1	0.07	94	0.006

#### Jablonski Diagrams: Life Histories of Excitons



#### Relationships between emission and absorption: The Einstein Coefficients



 $\mu_{ln,um}$  is the transition dipole from the lower to the upper state between vibronics *n* and *m*, respectively. In terms of the transition matrix elements:

$$B_{ln,um} = \frac{\pi}{3\varepsilon_0 \hbar^2} \left| \left\langle \phi_{ln} \right| H_{int} \left| \phi_{um} \right\rangle \right|^2 = \frac{\pi}{3\varepsilon_0 \hbar^2} \left| \mu_{ln,um} \right|^2$$

(*m*,*n* = corresponding vibronic levels). And:

$$A_{um,ln} = \frac{\hbar}{\pi^2} \left(\frac{\omega n_r}{c}\right)^3 B_{ln,um}$$

But  $A_{ul}$  is simply the sum of all radiative transition rates:

$$\tau_R^{-1} = \sum_n A_{u0,\ln}$$

Then in terms of frequency:

$$\tau_{R}^{-1} = \frac{8\pi^{2}n_{r}^{3}}{3\varepsilon_{0}\hbar c^{3}} \sum_{n} v_{u0,\ln}^{3} |\mu_{u0,\ln}|^{2}$$



#### **Oscillator Strength**

The oscillator strength is the ratio of the emission or absorption rate of the molecule to

that of a classical oscillator, which is defined by:

$$f_{in,fm} = \frac{4\pi m_e c}{3q^2 \hbar} \left\langle \tilde{v}_{in,fm} \right\rangle \left| \left\langle \phi_{in} \right| H_{int} \left| \phi_{fm} \right\rangle \right|^2 = \frac{4\pi m_e c}{3q^2 \hbar} \left\langle \tilde{v}_{in,fm} \right\rangle \left| \mu_{in,fm} \right|^2.$$

 $\sigma_0(\omega) = \hbar \omega B_{ln \ um} \gamma(\omega) / c$ 

Normalized line shape

Recall this is simply  $|\mu_{if,e}|^2 FC_{if}$ 

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The absorption cross section is:

This leads to more practical expressions for the oscillator strength:

$$f_{in,fm} = \frac{2m_e \varepsilon_0 c}{\pi q^2 n_r} \int \sigma(\omega) d\omega = \frac{2303m_e c^2}{N_A \pi q^2 n_r} \int \varepsilon(\tilde{v}) d\tilde{v} = \frac{4.39 \times 10^{-9}}{n_r} \int \varepsilon(\tilde{v}) d\tilde{v}$$
Decadic molar absorption  
Coefficient [I-cm<sup>-1</sup>-M<sup>-1</sup>]
Absorption coeff't relationships:  $\alpha(v) = \ln 10\varepsilon(v)C = 2.303\varepsilon(v)C$ 
C=concentration [M/I]
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#### What is the strength of a vibronic transition?

$$\overline{f}_{in,fm} = \frac{\widetilde{V}_{in,fm}}{\widetilde{V}_{i0,f0}} FC_{n,m}$$

- The strength of a transition depends on the overlap between the excited and ٠ ground state vibronics, i.e. on the Franck-Condon factor  $FC_{n,m}!$
- It is convenient to reference the oscillator strength to the 0-0 transition  $v_{i0,f0}$ •
- Two cases to consider:



**Electronic potential changes** 

- Rigid shift of nuclear coordinates on excitation
- Vibronic progression is unaffected
- Red shift of spectrum= bathochromic shift
- Blue shift=hypsochromic

Organic Electronics No net shift of nuclear coordinates Stephen R. Forrest Energies between vibronics changes 14

#### Example 1: Displaced Oscillator

• A Poisson distribution describes the overlap and state filling. Hence

 $FC_{n.m} = Z^m e^{-Z} / m!$  Z = Huang-Rhys factor Such that:  $\overline{f}_{in,fm} = \frac{\widetilde{V}_{in,fm}}{\widetilde{V}_{i0,f0}} \frac{Z^m}{m!} e^{-Z} \qquad : \text{Ratio of vibronic intensity to that at 0-0}$  $Z = \frac{1}{2} k \Delta Q^2 / h \tilde{v}_{i0,fm} \quad \Delta Q = \sqrt{\sum_{p=1}^{N} \Delta \mathbf{R}_{p}^2} \quad \text{:Sum of squares of nuclear} \\ \text{displacements}$ Where: Layer Thickness (Å) 75 500 102030 40 0.7 T = 4.2KFluorescence Intensity (arb. units) 0.6 m=2Ratio of Vibronic Intensities κ w<sub>ph</sub> 0.5 10Å Layers m=3**Ultrathin PTCDA layers** 0.4 separated by NTCDA 0.3 30Å Layers lavers of equal thickness m=0m=1 0.2 ⊽ m=3 40Å Layers-6m=1 0.1 m=00–2 0–1 0–0 500Å Layers Organic Electronics 0-30.0 Stephen R. Forrest 0.05 0.10 0.15 0.20 0.25 0.30 0.35 0.40 0  $\Delta O^2$  (Å<sup>2</sup>) 1.8 1.9 2.0 2.1 1.5 1.6 17 Energy (eV) 15 Haskal, et al. Phys. Rev. B, 51, 4449 (1995).

#### Example 2: Distorted Oscillator

FC Shift for the distorted oscillator:

$$FC_{if} = (1 - \xi^2)^{1/2} \xi^m \frac{1 \cdot 3 \cdot 5 \cdots (m - 1)}{2 \cdot 4 \cdot 6 \cdots m}; m \text{ even}$$

 $FC_{if} = 0$ ;  $FC_{if} = 0$ ; modd

where

$$\xi = (\omega_{i0} - \omega_{fm}) / (\omega_{i0} + \omega_{fm}) = \Delta \omega / (\omega_{i0} + \omega_{fm})$$

Relative peak absorption intensities also scale with distortion:

$$G(\Delta\omega) = \exp(-\hbar\Delta\omega/(D-1)k_BT)$$

where

 $D = \omega_{i0} / \omega_{fm}$ 

Jayaraman, et al., 1985, J. Chem. Phys. 82, 2682.



### Energy Gap Law

The larger the energy gap, the lower the probability for non-radiative recombination.  $\Rightarrow$ As the energy gap of a molecular species decreases, radiative transitions have a higher probability for non-radiative decay:



$$k_{if} = A \exp\left(-\gamma E_g / \hbar \omega_p\right)$$
$$\gamma = \log\left(\frac{E_g}{\Omega E_p}\right) - 1$$

Ω= number of modes contributing to the maximum phonon energy,= ½ the Stokes shift.

Series of aromatic hydrocarbons and their deuterated isotopes (filled circles). Organic Electronics  $\eta$ =relative number of H or D atoms in moleStephen R. Forrest

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Siebrand & Williams, J. Chem. Phys. 46, 403 (1967).



#### Dimers

- Interaction of an isolated pair of molecules
- First step in building up a solid: smallest possible aggregate unit
- <u>Chemical dimer</u>: the pair forms a bond
- <u>Physical dimer</u>: the pair is attracted by a bonding force, typically van der Waals
- New energy levels appear that do not exist in the individual molecules
- Treatment much like that of a H<sub>2</sub> molecule.
  - Ground state:  $\psi_G(\mathbf{r}_1, \mathbf{r}_2) = \psi(\mathbf{r}_1)\psi_2(\mathbf{r}_2)$ :  $\psi(\mathbf{r}_{1,2}) =$  ground state of individual molecules comprising the pair.
  - These identical molecules have identical energies:  $E_1 = E_2 = E_0$
  - When interacting to form a dimer, the energy is perturbed:

$$E_{I} = \left\langle \boldsymbol{\psi}_{1}(\mathbf{r}_{1})\boldsymbol{\psi}_{2}(\mathbf{r}_{2}) \right| \boldsymbol{H}_{\text{int}} \left| \boldsymbol{\psi}_{1}(\mathbf{r}_{1})\boldsymbol{\psi}_{2}(\mathbf{r}_{2}) \right\rangle$$

• With (for vdW interactions):  $H_{int} = -\frac{A_{12}}{r_{12}^6}$ 

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#### Normal Modes of a Dimer

Excited state 'oscillates' between the two molecules forming two normal modes:



Two energies associated with these normal modes:

$$E_{I}' = \left\langle \psi_{1}'(\mathbf{r}_{1})\psi_{2}(\mathbf{r}_{2}) \middle| H_{\text{int}} \middle| \psi_{1}'(\mathbf{r}_{1})\psi_{2}(\mathbf{r}_{2}) \right\rangle \quad : \text{Coulomb energy}$$
$$E_{\pm} = \pm \left\langle \psi_{1}'(\mathbf{r}_{1})\psi_{2}(\mathbf{r}_{2}) \middle| H_{\text{int}} \middle| \psi_{1}(\mathbf{r}_{1})\psi_{2}'(\mathbf{r}_{2}) \right\rangle \quad : \text{Resonance energy}$$

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Giving a total dimer energy of:  $E_T' = E_0 + E' + E_I' + E_{\pm}$ 

=Ground state + excited state of one molecule + energies of the pair

#### The Dimer Spectrum



Symmetry prevents some transitions from occurring

Transition moment:  $\boldsymbol{\mu}_{\pm} = -\frac{q}{\sqrt{2}} \langle \boldsymbol{\psi}_1'(\mathbf{r}_1) \boldsymbol{\psi}_2(\mathbf{r}_2) \pm \boldsymbol{\psi}_1(\mathbf{r}_1) \boldsymbol{\psi}_2'(\mathbf{r}_2) \big| \mathbf{r} \big| \boldsymbol{\psi}_1(\mathbf{r}_1) \boldsymbol{\psi}_2(\mathbf{r}_2) \rangle = \frac{1}{\sqrt{2}} \big( \boldsymbol{\mu}_1 \pm \boldsymbol{\mu}_2 \big)$ 

#### Comparison of Monomer and Dimer Spectra



- 9-chloroanthracene in cyclohexane glass
- 1400 cm<sup>-1</sup>  $n \rightarrow 0$  transitions labeled
- Other intramolecular transitions are apparent in the monomer spectrum
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Chandross & Ferguson. 1966. J Chem. Phys. 45 3554.

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#### Excimers and Exciplexes

- A dimer with no ground state is an excimer.
- A molecular couple comprised of two different molecular species is an **exciplex**.



Getting to the excimer can be a multi-step process

 $S_0 + h V_M \rightarrow S_1$ 

$$S_1 \rightarrow E^* + h v_{ph}$$

 $E^* \rightarrow S_0 + h v_{E^*}$ 



Energy

#### Examples of Excimer Emission in Fluorophors and Phosphors

Excimer Fluorescence: Pyrene in solution Higher concentration=more excimer pairs Excimer Phosphorescence Coexistence of monomer and excimer emission = white light



#### Exciplexes

- Concept of **donor** and **acceptor** molecules:
  - An excitation moves from an excited donor (D\*) to a ground state acceptor (A<sup>0</sup>)

 $D^* + A^0 \to D^0 + A^*$ 

• Since there is an energy difference between D and A, an exciplex results in charge transfer (shared charge) between molecules:

$$D^* + A^0 \rightarrow (D^+ A^-)$$

 Excitation shared by two different molecules forming a complex: the amount of charge transfer depends on energy asymmetry



#### Example of an Exciplex



#### Excited states in crystals

- **Davydov bands**: Broadening of the exciton spectrum occurs due to nearest neighbor interactions in a crystal.
- The number of branches in a crystal is equal to the number of inequivalent molecules in a basis: Davydov splitting



Wavefunctions now must be consistent with Bloch's theorem:  $\Psi_{\mu} = \frac{1}{\sqrt{N}} \sum_{i}^{N} \psi'_{i\mu} \exp(i\mathbf{k} \cdot \mathbf{R}_{i\mu})$ Lattice translation vector Organic Electronics Stephen R. Forrest

#### Calculating Exciton Band Structure

$$E_{\pm}(\mathbf{k}) = \sum_{ik}^{N} \sum_{\mu}^{m} \left\langle \phi_{i\mu}' \phi_{k\mu} \middle| V_{i\mu,k\mu} \middle| \phi_{i\mu} \phi_{k\mu}' \right\rangle \exp\left(i\mathbf{k} \cdot \Delta \mathbf{R}_{ik,\mu}\right)$$

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$$\pm \sum_{i,k}^{N} \sum_{\mu \neq \nu}^{m} \left\langle \phi_{i\mu}' \phi_{k\nu} \middle| V_{i\mu,k\nu} \middle| \phi_{i\mu} \phi_{k\nu}' \right\rangle \exp(i\mathbf{k} \cdot \Delta \mathbf{R}) = E_{\mu\mu} \pm E_{\mu\nu}$$

Considering only nearest neighbors, we get the **dispersion relationship**:

$$E(\mathbf{k}) = E_0 + E' + E'_I + 2E_{\mu\mu} \cos(\mathbf{k} \cdot \Delta \mathbf{R})$$

As previously: Ground + excited state of 1 molec.+interaction (Coulomb+resonance) Lattice translation  $\Delta \mathbf{R}$  restricted to distances between nearest neighbors Exciton now has momentum, **k**: It is mobile!

Exciton bandwidth:  $\Delta E = 4E_{\mu\mu}$ 

Each  $\boldsymbol{\mu}$  gives a different Davydov Band

#### Davidov splitting in Anthracene



## The correspondence between a molecule and a solid



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- IP=ionization poten
- EA=electron affinity
- P=Polarization ener
- g,s=gas, solid

Going from molecules to solids



Wolf, H. C. 1959. *In:* SEITZ, F. & TURNBULL, D. (eds.) *Advances in Solid State Physics.* NY: Academic Press.

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#### How many molecules makes a solid?



One way to tell: how many molecules are needed for the dielectric constant to equal that of the bulk?

Shen, Z. & Forrest, S. R. 1997. *Phys. Rev. B*, 55, 10578.

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#### Very few!

More anisotropic media require a larger volume to become bulk-like

# Why don't all the bands have the same value at k=0?

Polarization along different directions determines the dielectric constant

 $\Rightarrow$  direction dependent exciton momentum & energy

